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Substitute for form 1449A/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number	09/747,157
		Filing Date	December 21, 2000
		First Named Inventor	J. CRANK et al.
		Group Art Unit	3731
		Examiner Name	H. Ali
Sheet	1	of	1
		Attorney Docket Number	12013/61101

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Signature	Hyacin Ah	Date Considered	12/28/04
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

of 1

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Application Number	09/747,157
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Attorney Docket Number 12013/61

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Sheet	2	of	2
		Attorney Docket Number	
		12013/61101	

## OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
HA		Annex Form PCT/ISA/206, Communication Relating to the Results of the Partial International Search for PCT/US 01/48839	

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FORM PTO-1449		Atty. Docket No.: 1001.1429101	Serial No.: 09/747,157
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant: Crank et al.	
		Filing Date	Group Art:
		December 21, 2000	3731

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
HA AA	4,760,131	07/26/88	Sundsmo et al.	530	356	
HA AB	4,790,311	12/13/88	Ruiz	128	303.1	
HA AC	4,896,671	01/30/90	Cunningham et al.	128	642	
HA AD	5,047,026	09/10/91	Rydell	606	48	
HA AE	5,093,877	03/03/92	Aita et al.	385	34	
HA AF	5,261,889	11/16/93	Laine et al.	604	164	
HA AG	5,287,861	02/22/94	Wilk	128	898	
HA AH	5,358,485	10/25/94	Vance et al.	604	22	
HA AI	5,364,393	11/15/94	Auth et al.	606	34	
HA AJ	5,370,675	12/06/94	Edwards et al.	607	101	
HA AK	5,380,316	01/10/95	Aita et al.	606	7	
HA AL	5,389,096	02/14/95	Aita et al.	606	15	
HA AM	5,403,311	04/04/95	Abele et al.	606	49	
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HA AR	5,591,159	01/07/97	Taheri	606	15	
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HA	AU	5,620,414	04/15/97	Campbell, Jr.	604	22	
HA	AV	5,672,174	09/30/97	Gough et al.	606	41	
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HA	BA	5,713,894	02/03/98	Murphy-Chutorian et al.	606	15	
HA	BB	5,725,521	03/10/98	Mueller	606	7	
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HA	BD	5,810,836	09/22/98	Hussein et al.	606	108	
HA	BE	5,871,495	02/16/99	Mueller	606	185	
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HA	BI	6,053,924	04/25/00	Hussein	606	108	
HA	BJ	6,056,742	05/02/00	Murphy-Chutorian et al.	606	11	
HA	BK	6,056,743	05/02/00	Ellis et al.	606	15	

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		Document No.	Date	Country	Class	Sub Class	Translation Yes No
HA	BL	WO 96/35469	11/14/96	PCT			
HA	BM	WO 96/39963	12/19/96	PCT			
HA	BN	WO 97/18768	05/29/97	PCT			
HA	BO	WO 97/29803	08/21/97	PCT			
HA	BP	WO 97/32551	09/12/97	PCT			
HA	BQ	WO 97/44071	11/27/97	PCT			

HA	BR	WO 98/05307	02/12/98	PCT			
HA	BS	WO 98/16157	04/23/98	PCT			
HA	BT	WO 98/17186	04/30/98	PCT			
HA	BU	WO 99/22655	05/14/99	PCT			
HA	BV	WO 00/15146	03/23/00	PCT			
HA	BW	WO 00/16704	03/30/00	PCT			
HA	BX	DE 296 09 350 U 1	10/10/96	Germany			Yes
HA	BY	DE 195 37 084 A 1	04/10/97	Germany			Yes

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

HA	BZ	Mirhoseini et al., Abstract entitled "Transventricular Revascularization by Laser", <u>Lasers in Surgery and Medicine</u> , 2(2), 1982, 1 page.
HA	CA	Gal et al., Abstract entitled "Analysis of Photoproducts Free Radicals and Particulate Debris Generated...", <u>Lasers in Surgery and Medicine</u> , 11(2) 1991, 1 page.
HA	CB	Isner, J., Abstract entitled "Right Ventricular Myocardial Infarction", <u>JAMA</u> , v259, n5, February 5, 1988, 12 pages.
HA	CC	Pickering et al., Abstract entitled "Proliferative Activity in Peripheral and Coronary Atherosclerotic Plaque....", <u>J. Clin. Invest.</u> , ISSN 0021-9738, April 1993, 1 page.
HA	CD	Vineberg et al., "Creation of Intramyocardial Pathways to Channel Oxygenated Blood Between Ventricular Arteriolar Zones", <u>Canad. Med. Ass. J.</u> , Vol. 96, February 4, 1967, 3 pages.
HA	CE	Vineberg, A., "Results of 14 Years' Experience in the Surgical Treatment of Human Coronary Artery Insufficiency", <u>Canad. Med. Ass. J.</u> , Vol. 92, February 13, 1965, 8 pages.
HA	CF	Vineberg et al., "The Ivalon Sponge Procedure for Myocardial Revascularization", <u>Surgery</u> , Vol. 47, No. 2, February 1960, pp. 268-289.
HA	CG	Vineberg et al., "Treatment of Acute Myocardial Infarction by Endocardial Resection", <u>Surgery</u> , Vol. 57, No. 6, June 1965, pp. 832-835.
HA	CH	Walter et al., "Treatment of Acute Myocardial Infarction by Transmural Blood Supply from the Ventricular Cavity", <u>European Surgical Research</u> , 3:130-138 (1971).
HA	CI	Khazei et al., "Myocardial Canalization", <u>The Annals of Thoracic Surgery</u> , Vol. 6, No. 2, August 1968, pp. 163-171.
HA	CJ	Hershey et al., "Transmyocardial Puncture Revascularization", <u>Geriatrics</u> , March 1969, pp. 101-108.
HA	CK	Press Release dated October 21, 1996, "Doctor's Demonstrate Proof of Blood Flow Through Open TMR Channels Created with PLC Systems...", 1 page.
HA	CL	Press/News Release dated October 10, 1996, "Texas Fieart Institute Presents Study Comparing the Use of CO2...", 1 page.
HA	CM	Goldman et al., "Nonoperative Portacaval Shunt in Swine", <u>Investigative Radiology</u> , Vol. 25, No. 5, May 1990, 5 pages.
HA	CN	Schumacher et al. "Induction of Neoangiogenesis in Ischemic Myocardium by Human Growth Factors", <u>Clinical Investigation and Reports</u> , December 1, 1997, 6 pages.
HA	CO	Article entitled "Gene therapy improves leg circulation--next step heart?", 70 <sup>th</sup> Scientific Sessions, published on or before November 2, 1998, 2 pages.
HA	CP	Winslow, R., "Genetic Techniques Succeed in Treating Patients with Obstructed Blood Vessels", <u>The Wall Street Journal</u> , published on or before November 2, 1998, 2 pages.

HA	CQ	Kolata, G., "Gene Therapy Gives Blood a Path Around Leg Blockages, Researchers Say", <u>The New York Times</u> , November 10, 1997, 2 pages.
HA	CQ	Mack et al., "Cardiopulmonary Support and Physiology", <u>The Journal of Thoracic and Cardiovascular Surgery</u> , Vol. 115, No. 1, January, 1998, 10 pages.

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